

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Richard B. Peterson

Application No.

Filed: October 2, 2003

For: Plug-Compatible Modular Thermal Management Packages

Date:

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

TO THE ASSISTANT  
COMMISSIONER FOR PATENTS:

Pursuant to his duty of disclosure, applicant encloses accompanying Form PTO-1449 together with any required patents, publications, or other information.

1. This information disclosure statement is being submitted:
  - a. ☒ Within three months of filing the above-identified application or entry of the national stage, or before receipt of the first Office action on the merits, whichever is later. (No certification required.)
  - b. ☐ After the period set forth in paragraph 1a, but before receipt of a final action or notice of allowance or an action that otherwise closes prosecution in an application (e.g. Ex parte Quayle). (Check box i. or ii.)
    - i. ☐ A fee of \$180.00 under 37 CFR 1.17(p) is enclosed.
    - ii. ☐ A certification under 37 CFR 1.97(e) is set forth below.

- c. ☐ After receipt of a final action or notice of allowance or an action that otherwise closes prosecution in an application (e.g. Ex parte Quayle) and before payment of an issue fee. (Check box i. and ii.)
- i. ☐ A certification under 37 CFR 1.97(e) is set forth below. AND
- ii. ☐ A fee of \$180.00 under 37 CFR 1.17(i)(1) is enclosed.
2. ☒ The attorney or agent signing below hereby certifies that:
- ☐ each item of information contained in the information disclosure statement was *first* cited in a *any* communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

Respectfully submitted,  
Richard B. Peterson



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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
OSO 01-12

SERIAL NO.

INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)

APPLICANT Richard B. Peterson

FILING DATE 10/2/03

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5 4 8 0 7 3 8	1/02/1996	Elangovan et al	429	32	
	AB	5 7 4 1 6 0 5	4/21/2998	Gillett et al	429	31	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AR	
	AS	
	AT	
EXAMINER		DATE CONSIDERED

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\_\_\_\_\_ AV \_\_\_\_\_

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.